

Attorney's Docket No. 80398.P416

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	,
Ludtke, et al.	Examiner: Mizrahi, D.
Application No.: 09/734,839)	Art Unit: 2175
Filed: December 11, 2000	RECEIVED
For: Secure and Convenient Method)	JUN 1 0 2004
and Apparatus for Storing and) Transmitting Telephony-Based) Data)	Technology Center 2100
Commissioner for Patents	
P.O. Box 1450	
Alexandria Virginia 22313-1450	

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States I	
class mail with sufficient postage in an envelope addressed to the Commissioner for	or Patents, P.O. Box
1450, Alexandria, Virginia 22313-1450 on	
(Date of Deposit)	
Cheri Clinkenbeard /	
(Typed or printed name of person mailing correspondence)	
1 male March	
MINIBUVI	

180.00

06/07/2004 WABDELR1 00000015 09734839

(Signature of person mailing correspondence)

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/2/2, 2004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

O E JOSE TE

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

				TOTAL	AMOUNT OF PAY	MENT (\$)	180.00			ľ
	ete if Kr					., –		D	ECEI	VED
	ation No		9/734,83	39				1.1		v LP
First N	Date! lamed Ir	2/11/00 ventor	Ludtke						JUN 1 0	2004
									0011 1	2007
Art Uni	t <u>2175</u>							Tech	nology Ce	nter 2h00
Attorne	y Docke	t No	80)398.P416						
	App	licant cla	aims sn	nall entity sta	atus. See 37 CFR	1.27.				
METH	OD OF	PAYME	NT (che	eck all that a	apply)					
X_	_ Chec	:k	Cred	dit Card	Money Orde	er (Other _		None	
X	_ Depos	sit Accou								
					02-2666		<u>.</u>			
Y	The D	Deposit	Accour Author	II Name: rized to do th	ne following with re	espect to the	ahove-ide	ntified I	Denosit Acc	ount:
	_ Ine D			ndicated be		espect to the	above ide		opoon Aoo	
		Credit a	ny ove	rpayments.						
					during the pende					
	<u>X</u>				that requires a petite petition for extensition for extensitio					her
					low except for the		an require	u 1003 311	louid be char	Jou.
								· · · · · · · · · · · · · · · · · · ·		
FEE C	ALCUL	ATION								
			_							
1. <u>BA</u>	SIC FIL	ING FEE	•							
Large	Entity	Small	Entity							٠
Fee	Fee	Fee	Fee							
Code	(\$)	Code	(\$)	Fee Desc					Fee Paid	
1001	770	2001	385		plication filing fee					1
1002 1003	340 530	2002 2003	170 265	Plant filir	pplication filing fe	е			-	
1004	770	2004	385	Reissue						
1005	160	2005	80		nal application filir	ig fee				
						CII	PTOTAL	/4\	^	
						30	BIUIAL	(I) ——	0	
2. <u>EX</u>	TRA CL	AIM FE	S FOF	R UTILITY A	ND REISSUE					
							Fee fr			
					Extra Claims		<u>below</u>		<u>Fee Paid</u>	
Total (Claims)	·	=		
Indep	endent	Claims _		- 3** =		>	·	=		
	le Depe							=		
				d, if greater	; For Reissues, s	ee below.				
Large		Small I								
Fee	Fee	Fee Code	Fee	Eoo Doos	intion					
Code 1202	(\$) 18	2202	(\$) 9	Fee Descr	excess of 20					
1201	86	2201	43		ent claims in exces	s of 3				
1203	290	2203	145	•	ependent claim, if					
1204	86	2204	43	**Reissue	independent claim	s over origina				
1205	18	2205	9	**Reissue	claims in excess of	of 20 and over	original p	patent		
						-	SURTOTA	I (O) ^		
							Δ			1

JUN 1 0 2004

3. ADDITIONAL FEES

FEE CALCULATION (continued)

3. Al	DDITIONA	L FEES			
1				Techr	ology Center 2100
Large	Entity	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	1 - 1 - 1 - 1 - 1
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	1,005	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1502	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per	100.00
002	40	0021	40	property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection	
1003	,,,	2003	000	(see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined	
1010	,,,	2010	000	(see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design	
1002	000	1002	000	application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	
	•		•		
	iee (specif iee (specif	• • • • • • • • • • • • • • • • • • • •			
	ice (ahecii	J/			
*Reduc	ed by Basic	Filing Fee	Paid	SUBTOTAL (3) \$ <u>1</u> 1	80.00
	ITTED BY				
		_	Shery	l Sue Holloway	
Signa	ture: <u> </u>			Date: MAY 27, 20	004
Reg. N	lumber:	37,850		Telephone Number: 408-720-830	0



Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pregrant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

JUN 1 0 2004

Technology Center 2100

Substitute for Form 1449A/PTO (Modified)

1

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

3

Com	plete if Known	
Application Number	09/734,839	() PE
Filing Date	12/11/00	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
First Named Inventor:	Ludtke	JUN 0 4 2025 24
Group Art Unit	2171	
Examiner Name	Mizrahi, D.	The market
Attorney Docket Number	80398 P416	Тини

Sneet	1		01	3	Attorney Docket Nu	HIDEI	00390.P410	
U.S. PATENT DOCUMENTS								
Exam. Cite Initial* No.1	U.S. Patent	Document		atentee or Applicant ted Document		Publication of Document	Pages, Columns, Lines, Where Relevant	
muai	110.	Number Code ²	Kind (If known)		ted boodinent		DD-YYYY	Passages or Relevant Figures Appear
		6,128,602		North	ington, et al.	10	0/3/00	
		5,561,709		F	Remillard	10	0/1/96	
		6,000,832		Fra	nklin, et al.	12	/14/99	
		6,029,141		Be	zos, et al.	2/	/22/00	
		6,282,552	B1	Thor	npson, et al.	8/	28/01	
		6,311,214	B1		Rhoads	10	/30/01	
		6,609,113	B1	O'L	eary, et al.	8/	19/03	
							RE(CEIVED
							JU	N 1 0 2004
•							Technol	ogy Center 2100
		-						
-								
-								

·	FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No.1	Forei Office ³	gn Patent D Number ⁴	Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
								-

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute	for Form	1449A/PTO	(Modified)
Substitute	IUI FUIIII	14436710	UVIOUIIIEU

2

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

3

Co	mplete if Known	
Application Number	09/734,839	VILE?
Filing Date	12/11/00	55
First Named Inventor:	Ludtke	JUN 0 4 200% &
Group Art Unit	2171	\2. 5
Examiner Name	Mizrahi, D.	Te more
Attorney Docket Number	80398.P416	

		OTHER ART – NO PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		RECEIVED	
	-	JUN 1 0 2004	
		Technology Center 2100)
•			
···			
· <u>-</u>			

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.